JC03 Rec'd PCT/PTO 17 OCT 2009

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES			ATTORNEY 'S DOCKET NUMBER							
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NOTIFICATION, See 97 (FR 1.5)							
	ATIONAL APPLICATION NO. A2004/000590	INTERNATIONAL FILING DATE April 19, 2004	PRIORITY DATE CLAIMED April 17, 2003							
	INVENTION									
HIGH POWER/WEIGHT RATIO BRAKING DEVICE BASED ON SHAPE MEMORY MATERIAL TECHNOLOGY APPLICANT(S) FOR DO/EO/US										
DUPUIS, Daniel; BEDARD, Stephane; ROY, Pierre-Olivier ROY										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1.	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2.	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
3.	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4.	The US has been elected (Article 31).									
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
	a. is attached hereto (required only if not communicated by the International Bureau).									
	b. has been communicated by the International Bureau.									
•	c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. <u></u>	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
,	a. is attached hereto.									
	b. has been previously submitte	ed under 35 U.S.C. 154(d)(4).								
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
	a. are attached hereto (required only if not communicated by the International Bureau).									
	b. have been communicated by the International Bureau.									
	c. have not been made; however	er, the time limit for making such a	amendments has NOT expired.							
	d.	not be made.								
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9.	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Items 11 to 20 below concern document(s) or information included:										
11. 🗌	An Information Disclosure Statement ur	nder 37 CFR 1.97 and 1.98.								
12. 🔲	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13.	A preliminary amendment.									
14.	An Application Data Sheet under 37 CFR 1.76.									
15. 🗌	5. A substitute specification.									
16.	6. A power of attorney and/or change of address letter.									
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.									
18. 🗌	A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
19.	A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).									
20. 🛛	Other items or information: Check in the amount of \$1,000.00; Postcard; and Certificate of Express Mailing EV666873274US									

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	ON NO (if known see 37.0		INTERNATIONAL A			ATTORNEY'S DO					
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21. The following	fees are submitted:										
a) Basic nation	onal fee	\$ 300.00									
b) Examinati											
I —		\$ 200.00									
	TOTAL OF ABOVE CALC		 								
		\$ 1,000.00									
listing or comp	for specification and drawing outer program listing filed in sheets of paper or fraction to the sheets of the sheet the sheet										
Total Sheets	Extra sheets	Number of each additional 50 or frac thereof (round up to a whole numb			RATE						
- 100 =	/50 =				x \$250.00	\$					
Surcharge of \$130.00 claimed priority date	of for fumishing the oath or de (37 CFR 1.492(e)).	\$									
CLAIMS	NUMBER FILE	D NUMBER EXTRA		RATE		\$					
Total claims	20	20 =		X \$50.00		\$					
Independent claims	3	-3 =		Х	\$200.00	\$					
MULTIPLE DEPEND	ENT CLAIM(S) (if applicable)	pplicable)		+	\$360.00	\$					
			TOTAL OF ABOVE	CALCUL	ATIONS =	\$ 1,000.00	•				
Applicant clain by ½.	ns small entity status. See 3	\$									
				SU	BTOTAL =	\$ 1,000.00					
Processing fee of \$1 claimed priority date	\$										
,	(TOTAL	NATION	AL FEE =	\$					
	e enclosed assignment (37 over sheet (37 CFR 3.28, 3.3)			e accom	panied +	\$					
1		\$ 1,000.00									
						Amount to be refunded:	\$				
						Amount to be charged:	\$				
a. A check	in the amount of \$ 1,000	00 to co	ver the above fees is encl	hazo		10.10.500					
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	Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.										
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i —	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.											
SEND ALL CORRESPONDENCE TO:											
	Timothy J. Keefer, Esq.										
SEYFARTH SHAW LLP SIGNATURE V											
55 E. Monroe Street Timothy J. Keefer											
Chicago, Illinois 60603-5863											
35,667											
					TRATION NU	MBER					

APPLICANT: DUPUIS et al.

APPL. SERIAL NO.: To Be Assigned

FILING DATE: Herewith

ENTITLED: HIGH POWER/WEIGHT RATIO BRAKING DEVICE

BASED ON SHAPE MEMORY MATERIAL TECHNOLOGY

CERTIFICATE OF EXPRESS MAILING

EXPRESS MAIL MAILING NUMBER: EV666873274US

DATE OF DEPOSIT: October 17, 2005

I hereby certify that the attached paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37CFR 1.10 on the date indicated above and is addressed to Mail Stop PATENT, Commissioner for Patents, Box 1450, Alexandria, VA. 22313-1450

Christopher Nowak

(Name of person mailing paper)

(Signature of person mailing paper)